

MEMO

Date: May 9, 2005

To: Prospective Save Our Sight Amblyope Registry Program Applicants

From: David P. Schor, MD, MPH, FAAP, Chief
Division of Family Community Health Services
Ohio Department of Health

Subject: Notice of Availability of Funds – State Fiscal Year 2006
October 1, 2005-June 30, 2008 Program Period for Save Our Sight Amblyope Registry Program

The Ohio Department of Health (ODH), Division of Family and Community Health Services (DFCHS), Bureau of Child and Family Health Services (BCFHS), announces the availability of grant funds to support the Save Our Sight Amblyope Registry Program. The goal of the Save Our Sight Amblyope Registry is to ensure that children in Ohio have good vision and healthy eyes. Amblyopia (lazy eye) begins in infancy or childhood and is the most common cause of vision impairment in children¹. Optimum treatment for amblyopia requires early detection, usually before the age of five; otherwise, irreversible visual deficits, including blindness, can occur². The Amblyope Registry provides education and voluntary case management to parents or guardians of children who are diagnosed with amblyopia.

To obtain a grant application packet:

1. Go to the ODH website at www.odh.ohio.gov;
2. From the home page click on "About ODH";
3. From the next page click on "ODH Grants";
4. Next click on "Grant Request for Proposals", this will give you a pull down menu with current RFPs by name; and
5. Select and highlight the Save Our Sight RFP and click "Submit". This process invokes Adobe Acrobat and displays the entire RFP. You can then read and/or print the document as desired

All interested parties must submit a Notice of Intent to Apply for Funding (attached), by May 31, 2005, in order for ODH to create a grant application account number for your organization. This account number will allow you to submit an application via the Internet, using the Grants Management Information System (GMIS). All grant applications must be submitted via the Internet, using GMIS. ODH will assess your organization's GMIS training needs (as indicated on the completed Notice of Intent to Apply for Funding Form) and contact you regarding those needs. GMIS training dates will be assigned via email. The training is mandatory, if your organization has never been trained on GMIS. Organizations with previous GMIS training will automatically receive a grant application account number upon receipt of a completed Notice of Intent to Apply for Funding form.

All potential applicants are encouraged to attend a Bidders' Conference that may be held in Columbus, Ohio on May 24, **2005, 10:00 a.m.-12:00p.m.** at the Ohio Department of Health. Directions to the Bidders' Conference will be provided upon registration. The Bidders' Conference will provide an opportunity for interested parties to learn more about the RFP and to ask clarifying questions.

All applications and attachments are due Monday, **July 18, 2005**. Electronic applications received after Monday, **July 18, 2005** will not be considered for funding. Faxed, hand-delivered or mailed applications will not be accepted.

Please note that all interested parties must submit a Notice of Intent to Apply for Funding (attached), no later than May 16, 2005, to be eligible to apply for these funds. Please contact Drema Phelps, Field Services Supervisor, at (614)644-6560, by e-mail dphelps@odh.ohio.gov, or by fax (614) 564-2433 with questions.

¹National Eye Institute- National Institutes of Health (NEI- NIH) Studies about Therapy for Amblyopia (lazy eye). www.nei.nih.gov/

²U.S. Preventive Task Force. Screening for visual impairment in children younger than ages 5 years; Recommendation statement. May 2004. Agency for Healthcare Research and Quality Rockville, MD.

NOTICE OF AVAILABILITY OF FUNDS

Ohio Department of Health
Division of Family and Community Health Services
Bureau of Child and Family Health Services

Save Our Sight Amblyope Registry Program

Competitive Grant Applications for October 1, 2005 – June 30, 2006

Introduction/Background

The Ohio Department of Health (ODH), Division of Family and Community Health Services (DFCHS), Bureau of Child and Family Health Services (BCFHS), announces the availability of grant funds to support activities for the Save Our Sight Amblyope Registry Program. The authorization of funds for this purpose is contained in Sections 3701.18 and 4503.104 of the Ohio Revised Code, which created the Save Our Sight Fund (Sub. H.B. 698). Motor vehicle owners in Ohio are asked to donate one dollar to the Save Our Sight Fund when they register their vehicle and/or renew license plates.

The goal of the Save Our Sight Amblyope Registry is to ensure that children in Ohio have good vision and healthy eyes. Amblyopia (lazy eye) begins in infancy or childhood and is the most common cause of vision impairment in children¹. Optimum treatment for amblyopia requires early detection, usually before the age of five; otherwise, irreversible visual deficits, including blindness, can occur². The Amblyope Registry provides education and voluntary case management to parents or guardians of children who are diagnosed with amblyopia.

Applicants for the Save Our Sight Amblyope Registry Program may apply for this grant to provide information and case management services to Ohio children with amblyopia (lazy eye) and their families.

Eligibility

Eligible applicants must be 501(c) organizations that offer vision services to children in all Ohio counties and have demonstrated experience in the delivery of these services. The organization may partner with local providers of pediatric vision services, as needed, to assist in implementing this grant. Applicant agencies must attend or document in writing prior attendance at GMIS training and must have the capacity to set up an electronic funds transfer (EFT).

¹National Eye Institute- National Institutes of Health (NEI- NIH) Studies about Therapy for Amblyopia (lazy eye). www.nei.nih.gov/

²U.S. Preventive Task Force. Screening for visual impairment in children younger than ages 5 years; Recommendation statement. May 2004. Agency for Healthcare Research and Quality Rockville, MD.

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Program Period and Award Amounts

This is a competitive grant cycle. For the budget period of October 1, 2005 to June 30, 2006, a total of \$130,000 may be awarded. The Department may award one (1) grant with a minimum of \$30,000 and a maximum of \$130,000 per application. No subgrantee is guaranteed a certain percentage of the total funds available. Only one application per agency will be funded. The program period begins October 1, 2005 and ends June 30, 2008. Projects are approved up to 12 months and will be required to submit continuation proposals for each budget period.

To Obtain a Grant Application Packet

1. Go to the ODH website at www.odh.ohio.gov; from the home page click on "About ODH"; then click on "ODH Grants"; next click on "Grant Request for Proposals"; this will give you a pull down menu with current RFPs by name; and select and highlight the ODH Save Our Sight Program RFP and click "Submit". This process invokes Adobe Acrobat and displays the entire RFP. You can then read and/or print the document as desired.

Please note that all interested parties must submit a Notice of Intent to Apply for Funding (attached), no later than May 31, 2005, to be eligible to apply for these funds. In order to receive Bidders' Conference information, the Notice of Intent to Apply for Funding should be submitted as soon as possible. In the application packet you will find:

- a. **Request for Proposals (RFP)** – This document outlines detailed information about the background, intent and scope of the grant, policy, procedures, performance expectations, and general information and requirements associated with the administration of the grant.
 - b. **Notice of Intent to Apply for Funding** - The purpose of this document is to ascertain your intent to apply for available grant funds.
2. When you have accessed the application packet:
 - a. Review the RFP to determine your organization's ability to meet the requirements of the grant and your intent to apply.
 - b. After your RFP review, if you want to submit an application for the grant, complete the Notice of Intent to Apply for Funding form in the application packet. Fax or mail it to ODH, per the instructions listed and by the due date indicated. The Notice of Intent to Apply for Funding form is mandatory if you are intending to apply for the grant.

NOTICE OF AVAILABILITY OF FUNDS

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3. Upon receipt of your completed *Notice of Intent to Apply for Funding* form, ODH will:
 - a. Create a grant application account number for your organization. This account number will allow you to submit an application via the Internet, using the Grants Management Information System (GMIS). All grant applications must be submitted via the Internet, using the GMIS. ODH will assess your organizations' GMIS training needs (as indicated on the completed *Notice of Intent to Apply for Funding* form) and contact you regarding those needs. GMIS training is mandatory if your organization has never been trained on GMIS.
 - b. A Bidders' Conference for potential applicants may be held in Columbus, Ohio, May 24, 2005, 10:00 a.m.-12:00 p.m. at the Ohio Department of Health. Directions to the Bidders' Conference will be provided upon registration. The Bidders' Conference will provide an opportunity for interested parties to learn more about the RFP and to ask clarifying questions.

Once ODH receives your completed *Notice of Intent to Apply for Funding* form, creates the grant application account for your organization and finalizes all GMIS training requirements, you may proceed with the application process as outlined in the RFP.

If you have questions or concerns, contact Drema Phelps, Field Services Supervisor, by phone (614)644-6560; by e-mail dphelps@odh.ohio.gov; or by fax (614) 564-2433.



ALL APPLICATIONS MUST BE SUBMITTED VIA THE INTERNET

OHIO DEPARTMENT OF HEALTH

**DIVISION OF
Family and Community Health Services**

**BUREAU OF
Child and Family Health Services**

**SAVE OUR SIGHT AMBLYOPE REGISTRY PROGRAM
REQUEST FOR PROPOSALS (RFP)
FOR
FISCAL YEAR 2006
(10/01/05 – 06/30/06)**

**Local Public Applicant Agencies
Non-Profit Applicants**

COMPETITIVE GRANT APPLICATION INFORMATION

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 - 1. Amblyope Registry Application Review Form

I. APPLICATION SUMMARY and GUIDANCE

An application for an ODH grant consists of a number of required parts – an electronic component submitted via an Internet Website (**which is sent with electronic acknowledgment in lieu of signature page**), various paper forms and attachments. All the required parts of a specific application must be completed and submitted by the application due date. **Any required part that is not submitted on time will result in the entire application not being considered for review.**

The application summary information is provided to assist your agency in identifying funding criteria:

- A. Policy and Procedure:** Uniform administration of all ODH grants is governed by the Ohio Department of Health Grants Administration Policies and Procedures Manual (GAPP). This manual must be followed to assure adherence to the rules, regulations and procedures for preparation of all Subgrantee applications. The GAPP manual is available on the ODH web-site <http://www.odh.ohio.gov> (Click on “About ODH,” click on “ODH Grants” and then click on “GAPP Manual.”).
- B. Application Name:** Save Our Sight Amblyope Registry Program.
- C. Purpose:** The Save Our Sight Fund was created, in part, with the purpose of providing funding, technical assistance and support to 501(c) organizations that provide education and voluntary case management to parents or guardians of children that are diagnosed with amblyopia. The services will be provided through the Amblyope Registry Program and must serve children and their families in all counties of Ohio. The funds to support the program will be generated by voluntary contributions of persons registering motor vehicles in Ohio and will be administered by the Ohio Department of Health. The support to organizations will include monies to implement and enhance the provision of the Amblyope Registry.
- D. Qualified Applicants:** All applicants must be a 501(c) organization. Applicant agencies must attend or document in writing prior attendance at GMIS training and must have the capacity to accept an electronic funds transfer (EFT).
- E. Service Area:** Applicants must provide services for the entire state of Ohio.
- F. Number of Grants and Funds Available:** For Fiscal Year 2006: The Department may award one grant for a total amount of \$130,000. Only one application per agency will be funded.

No grant award will be issued for less than **\$30,000**. The minimum amount is exclusive

of any required matching amounts and represents only ODH funds granted. Applications submitted for less than the minimum amount will not be considered for review.

- G. Due Date:** Applications, including any mailed forms and required attachments, are due by Monday, July 18, 2005. Attachments and any mailed forms will be considered to be “on time” if they are post marked or received on or before the established due date. Instructions for the submission of application attachments via GMIS are contained in Attachment #1.

For continuation grant periods, due dates will be posted during the continuation grant cycles.

Contact **Drema Phelps** with any questions at 614/644-6560 or e-mail at dphelps@odh.ohio.gov.

- H. Authorization:** Authorization of funds for this purpose is contained in Amended Substitute House Bill 66 and Sections 3701.18 and 4503.104 of the Ohio Revised Code, which created the Save Our Sight fund.

- I. Goals:** The goals of the Save Our Sight Amblyope Registry is to provide education and voluntary case management to parents or guardians of children that are diagnosed with amblyopia. Amblyopia (lazy eye) begins in infancy or childhood and is the most common cause of vision impairment in children¹. Optimum treatment for amblyopia requires early detection, usually before the age of five; otherwise, irreversible visual deficits, including blindness, can occur². In order to assist families with education and case management of amblyopia, ODH provides support to organizations that includes monies to implement and enhance the provision of an Amblyope Registry.

The Save Our Sight Amblyope Registry plan and budget must address the following objectives:

- 1) To implement and ensure the successful operation of the Amblyope Registry.

Strategies to accomplish objective #1 are as follows:

- a. Implement a computerized database to collect data of amblyope registry participants. The data must include, at a minimum, the following elements: name, address, date of birth, Social Security number, and race/ethnicity. Use only numerical identifiers in the client database that will be transmitted to ODH. No names or personally identifying information is to be transmitted in reports.
- b. Provide a guarantee that the data entry error level will not be more than 0.5 percent.
- c. Implement a data security and disaster recovery plan for the

information system used for this project.

- d. Provide ODH with quarterly activity and progress reports detailing the number of registry participants and where the registry is being utilized throughout Ohio.
 - e. Analyze and prepare summary reports as directed by ODH.
 - f. Provide an assurance that ODH shall own all data generated by the Ohio Amblyope Registry.
 - g. Provide an audit trail for the registry system as implemented.
- 2) To implement a voluntary case management system.

Strategies to accomplish objective #2 are as follows:

- a. Contact registry participants on a regular basis to determine if registry participants are following through with care recommendations and are under the care of a vision professional.
- b. Provide registry participants with information about amblyopia, vision health/safety and vision resources.
- c. Provide an assurance that the Amblyope Registry Program is the payer of last resort for the purchase of ODH approved vision materials that may assist with treatment for amblyopia (e.g., eye patches).

J. Program Period and Budget Period: The program period for this grant will begin 10/01/05 and end on 06/30/08. The budget period for this application is 10/01/05 through 06/30/06. Programs will be approved for a thirty-three month period; however, grant applications will be required annually for the continuation grant periods. Applicants are required to submit a program work plan for the entire thirty-three month period and a budget for 10/01/05 through 06/30/06 for the first year by Monday, July 18, 2005.

K. Local Health Districts Improvement Standards: This grant program will address the Local Health Districts Improvement Goal(s) 3701-36-07 "*Promote Healthy Lifestyles,*" Standard(s) 3701-36-07-03 "*Prevention, health promotion, early intervention, and outreach services are provided directly.*" The Local Health District Improvement Standards are available on the ODH web-site <http://www.odh.ohio.gov>. (Click on "Local Health Districts" then "Local Health Districts Improvement Standards," Then click "Local Health District Improvement Goals/Standards/Measures.")

L. Public Health Impact Statement: All applicant agencies that are not local health districts must communicate with local health districts regarding the impact of the proposed grant activities on the Local Health Districts Improvement Standards.

- 1. Public Health Impact Statement Summary - Applicant agencies are required to submit a summary of the program to local health districts prior to submitting the grant application to ODH. The program summary, not to exceed one page, must include:

- (1) The Local Health District Improvement Standard(s) to be addressed by grant activities;
- (2) A description of the target population to be served;
- (3) A summary of the services to be provided or activities to be conducted; and,
- (4) A plan to coordinate and share information with appropriate local health districts.

The Applicant must submit the above summary as part of their grant application to ODH. This will document that a written summary of the proposed activities was provided to the local health districts with a request for their support and/or comment about the activities as they relate to the Local Health Districts Improvement Standards.

2. Public Health Impact Statement of Support - Include with the grant application a statement of support from the local health districts, if available. If a statement of support from the local health districts is not obtained, indicate that when the program summary is submitted with the grant application. If an applicant agency has a regional and/or statewide focus, a statement of support must be submitted from at least one local health district, if available.

M. Appropriation Contingency: Any award made through this program is contingent upon the availability of funds for this purpose.

N. Programmatic, Technical Assistance and Authorization for Internet Submission:
Please contact:

Drema Phelps, Field Services Supervisor
Ohio Department of Health
Bureau of Child and Family Health Services
246 N. High Street 6th Floor
Columbus, OH 43215
614-644-6560
dphelps@odh.ohio.gov

Applicant must attend or must document, in writing, prior attendance at Grants Management Information System (GMIS) training in order to receive authorization for Internet submission.

O. Acknowledgment: An electronic mail (e-mail) message will be sent to the valid e-mail address of the program director listed in the applicant agency's "Application Information Page" acknowledging ODH system receipt of the Internet submission.

P. Late Applications: Applications are dated the time of actual submission via the Internet, or are automatically submitted on the application due date. Any required forms with original signatures, in blue ink, and required attachments will be considered to be "on time" if they are postmarked or received on or before the established application due date of July 18, 2005 for SFY 2006.

Applicants should request a legibly dated postmark, or obtain a legibly dated receipt from the U.S. Postal Service, or a commercial carrier. Private metered postmarks shall **not** be acceptable as proof of timely mailing. Applicants can hand-deliver attachments to ODH, Grants Administration, Central Master Files; but they must be delivered by 4:00 p.m. on the application due date. FAX attachments will not be accepted. **GMIS applications and required application attachments received late will not be considered for review.**

- Q. Successful Applicants:** Successful applicants will receive official notification in the form of a “Notice of Award” (NOA). The NOA, issued under the signature of the Director of Health, allows for expenditure of grant funds.
- R. Unsuccessful Applicants:** Within 30 days after a decision to disapprove or not fund a grant application for a given program period, written notification, issued under the signature of the Director of Health, or his designee shall be sent to the unsuccessful applicant.
- S. Review Criteria:** All proposals will be judged on the quality, clarity and completeness of the application. Applications will be judged according to the extent to which the proposal:
 1. Contributes to the advancement and/or improvement of the health of Ohioans;
 2. Is responsive to policy concerns and program objectives of the initiative/program/activity for which grant dollars are being made available;
 3. Is well executed and is capable of attaining program objectives;
 4. Describes specific objectives, activities, milestones and outcomes with respect to time-lines and resources;
 5. Estimates reasonable cost to the Ohio Department of Health, considering the anticipated results;
 6. Demonstrates that program personnel are well qualified by training and/or experience for their roles in the program and the applicant organization has adequate facilities and personnel;
 7. Provides an evaluation plan, including a design for determining program success;
 8. Is responsive to the special concerns and program priorities specified in the request for proposal; and,
 9. Has demonstrated acceptable past performance.

Appendix 1 is the application review form that will be used by internal and external grant reviewers to assess and score applications.

The Ohio Department of Health will make the final determination and selection of successful/unsuccessful applicants and reserves the right to reject any or all applications for any given request for proposals. There will be no appeal of the Department's decision.

- T. Freedom of Information Act:** The Freedom of Information Act and the associated

Public Information Regulations (45 CFR Part 5) of the U. S. Department of Health and Human Services require the release of certain information regarding grants requested by any member of the public. The intended use of the information will not be a criterion for release. Grant applications and grant-related reports are generally available for inspection and copying except that information considered to be an unwarranted invasion of personal privacy will not be disclosed. For specific guidance on the availability of information, refer to 45 CFR Part 5.

- U. Ownership Copyright:** Any work produced under this grant will be the property of the Ohio Department of Health/Federal Government. The Department's ownership will include copyright. The content of any material developed under this grant **must** be approved in advance by the awarding office of the Ohio Department of Health. All material(s) must clearly state:

Funded by Ohio Department of Health/Federal Government
Bureau of Child and Family Health Services
Save Our Sight Program

- V. Reporting Requirements:** Successful applicants are required to submit subgrantee program and expenditure reports. Reports must adhere to the Ohio Department of Health, Grants Administration Policies and Procedures (GAPP) Manual. Reports must be received before the Department will release any additional funds.

Note: Failure to assure quality of reporting such as submitting incomplete and/or late program or expenditure reports will jeopardize the receipt of your agency flexibility status and/or further payments.

Submit reports as follows:

- 1. Program Reports:** Subgrantee Program Reports must describe in detail program services and activities that have occurred during the referenced time period. The report must be completed and submitted via the Internet (see attachment #2) by the following dates for SFY 2006: 01/15/06, 04/15/06 and 07/15/06. Additional required attachments (non-Internet submitted) associated with the Program Quarterly Report must be submitted to Central Master Files by the specific report due date.

Submission of Subgrantee Program Reports via the Ohio Department of Health's GMIS system indicates acceptance of ODH Grants Administration Policy and Procedure (GAPP). Clicking the "submit" button signifies your authorization of this submission as an agency official and constitutes your electronic acknowledgement and acceptance of GAPP rules and regulations.

- 2. Subgrantee Program Expenditure Reports:** Subgrantee Program Expenditure Reports **must** be completed and submitted **via the Internet** by the following dates: (SFY 2006) 10/15/05, 01/15/06, 04/15/06 and 07/15/06.

Submission of Subgrantee Program Expenditure Reports via the Ohio Department of Health's GMIS system indicates acceptance of ODH Grants Administration Policy and Procedure (GAPP). Clicking the "submit" button signifies your authorization of the submission as an agency official and constitutes your electronic acknowledgment and acceptance of GAPP rules and regulations.

- 3. Final Expense Reports:** A Subgrantee Final Expense Report reflecting total expenditures for the fiscal year must be completed and submitted **via the Internet** within 45 days after the end of the budget period by 08/15/06. The information contained in this report must reflect the program's accounting records and supportive documentation. Any cash balances must be returned with the Subgrantee Final Expense Report. The Subgrantee Final Expense Report serves as invoice to return unused funds.

Submission of the Subgrantee Final Expense Report via the Ohio Department of Health's GMIS system indicates acceptance of ODH Grants Administration Policy and Procedure (GAPP). Clicking the "submit" button signifies your authorization of the submission as an agency official and constitutes your electronic acknowledgment and acceptance of GAPP rules and regulations.

- 4. Inventory Report:** A listing of all equipment purchased in whole or in part with **current** grant funds (Equipment Section of the approved budget) must be submitted via the Internet as part of the Subgrantee Final Expense Report. At least once every two years, inventory must be physically inspected by the subgrantee. Equipment purchased with ODH grant funds must be tagged as property of ODH for inventory control. Such equipment may be required to be returned to ODH at the end of the grant program period.
- W. Special Condition(s):** Responses to all special conditions **must be submitted via the Internet within 30 days of receipt of the first quarter payment.** A Special Conditions link is available on the Welcome screen for viewing and responding to special conditions. This link is viewable only after the issuance of the subgrantee's first payment. The 30-day time period, in which the subgrantee must respond to special conditions, will begin when the link is viewable. Failure to submit satisfactory responses to the special conditions or a plan describing how those special conditions will be satisfied will result in the withholding of any further payments until satisfied.

Submission of response to grant special conditions via the Ohio Department of Health's GMIS system indicates acceptance of ODH Grants Administration Policy and Procedure (GAPP). Clicking the "submit" button signifies your authorization of the submission as an agency official and constitutes your electronic acknowledgment and acceptance of GAPP rules and regulations.

X. Unallowable Costs: Funds **may not** be used for the following:

1. To advance political or religious points of view, or for fund raising or lobbying, but must be used solely for the purpose as specified in this announcement;
2. To disseminate factually incorrect or deceitful information;
3. Consulting fee for salaried program personnel to perform activities related to grant objectives;
4. Bad debts of any kind;
5. Lump sum indirect or administrative costs;
6. Contributions to a contingency fund;
7. Entertainment;
8. Fines and penalties;
9. Membership fees -- unless related to the program and approved by ODH;
10. Interest or other financial payments;
11. Contributions made by program personnel;
12. Costs to rent equipment or space owned by the funded agency;
13. Inpatient services;
14. The purchase or improvement of land; the purchase, construction, or permanent improvement of any building;
15. Satisfying any requirement for the expenditure of non-federal funds as a condition for the receipt of federal funds;
16. Travel and meals over the current state rates (see OBM Website <http://www.obm.ohio.gov/mppr/travel.asp>);
17. All costs related to out-of-state travel, unless otherwise approved by ODH, and described in the budget narrative;
18. Training longer than one week in duration, unless otherwise approved by ODH;
19. Contracts, for compensation, with advisory board members;
20. Grant-related equipment costs greater than \$300, unless justified and approved by ODH; and
21. Payments to any person for influencing or attempting to influence members of Congress or the Ohio General Assembly in connection with awarding of grants.

Use of grant funds for prohibited purposes will result in the loss or recovery of those funds.

Y. Audit: An independent audit must be completed no later than nine months after the end of the agency's fiscal year.

Subgrantees that have an agency fiscal year that ends on or after January 1, 2004 which expend \$500,000 or more in Federal awards in its fiscal year are required to have a single audit (\$300,000 for fiscal years ending on or before December 31, 2003). The fair share of the cost of the single audit is an allowable cost to Federal awards provided that the audit was conducted in accordance with the requirements of OMB Circular A-133.

Subgrantees that have an agency fiscal year that ends on or after January 1, 2004 which

expend less than the \$500,000 threshold require a financial audit conducted in accordance with Generally Accepted Government Auditing Standards (\$300,000 for fiscal years ending on or before December 31, 2003). The financial audit is not an allowable cost to the program.

Once the audit is completed, a copy must be sent to the ODH, Grants Administration, Central Master Files address within 30 days. Reference GAPP Chapter 100, Section 108 and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations for additional audit requirements.

Z. Submission of Application:

The Internet application submission must consist of the following:

| |
|--|
| <p>Complete & Submit Via Internet</p> |
|--|

1. Application Information
2. Assurances
3. Budget
 - Cover Page
 - Personnel
 - Other Direct Costs
 - Equipment
 - Contracts
 - Confirmation of Contractual Agreements
 - Section D
 - Summary
4. Budget Certification
5. Program Narrative
6. Attachments as required by Program
 - Attachment #3 – Save Our Sight Amblyope Registry Program Plan

An original and one (1) copy of the following forms, available on the Internet, must be completed, printed, signed in blue ink with original signatures and mailed to the address listed below:

| |
|--|
| <p>Complete, Sign & Mail To ODH</p> |
|--|

1. Electronic Funds Transfer (EFT) Form. **(Required if new agency, thereafter only if banking information has changed)**
2. IRS W-9 Form **(Required if new agency, thereafter only if changed)**

Two (2) copies of the following documents must be mailed to the address listed below:

| |
|--|
| <p>Copy & Mail To ODH</p> |
|--|

1. Public Health Impact Statement **(for competitive cycle only; for continuation, only if changed)**
2. Statement of Support from the Local Health Districts **(for competitive cycle only; for continuation, only if changed)**
3. Liability Coverage **(Non-Profit Organizations only; for competitive cycle only; for continuation, only if changed)**
4. Evidence of Non-Profit Status **(Non-Profit Organizations**

only; for competitive cycle only; for continuation, only if changed)

One (1) copy of the following documents must be mailed to the address listed below:

1. Current Independent Audit (latest completed organizational fiscal period; **only if not previously submitted**)
2. An original and required number of copies of **Attachments** (non-Internet compatible) **as required by Program – None.**

**Complete
Copy &
Mail To
ODH**

**Ohio Department of Health
Grants Administration
Central Master Files, 4th Floor
246 N. High Street
Columbus, Ohio 43215**

II. APPLICATION REQUIREMENTS AND FORMAT

To access the on-line Grants Management Information System (GMIS), enter the GMIS site address: <http://gap.odh.state.oh.us> and enter the 11-digit program number provided by your

program contact, which serves as your username when you log in. Do not submit the grant application until all appropriate sections have been completed and saved. For additional instructions, please refer to the information available on each individual screen through the on-line GMIS System's User Manual.

All applications must be submitted via the Internet. Submission of all parts of the grant application via the Ohio Department of Health's GMIS system indicates acceptance of ODH Grants Administration Policy and Procedure (GAPP). Checking the Acknowledge Button signifies your authorization of this submission as an agency official and constitutes your electronic acknowledgment and acceptance of GAPP rules and regulations in lieu of an executed Signature Page document.

- A. Application Information:** Information on the applicant agency and its administrative staff must be accurately completed in its entirety. Include e-mail addresses for receipt of acknowledgements. This information will serve as the basis for necessary communication between the agency and the ODH.
- B. Annual Assurances:** Each subgrantee must submit the "Federal and State Assurances for Subgrantees" form. This form is submitted automatically with each application via the Internet.
- C. Budget:** Prior to completion of the budget section, please review page 9 of the RFP for unallowable costs.

Match or Applicant Share is not required by this program, do not include match or Applicant Share in the budget and/or the Applicant Share column of the Budget Summary. Only the narrative may be used to identify additional funding information from other resources.

1. Cover Page: Provide a detailed narrative budget justification that describes how the categorical costs are derived. Discuss the necessity, reasonableness, and allocability of the proposed costs. Describe the specific functions of the personnel, consultants, and collaborators. Explain and justify equipment, travel, (including any plans for out-of-state travel), supplies and training costs. If you have joint costs refer to GAPP Chapter 100, Section 103 and Section D(9) of the application for additional information.

2. Personnel, Other Direct Costs, Equipment, Contracts & Confirmation of Contractual Agreement (CCA) Form(s): Submit a budget with these sections and form(s) completed as necessary to support costs for the period 10/01/05 to 06/30/06 for SFY 2006. The submission of a budget for grant year 2006 -2007 and 2007-2008 will be required. Dates for submission will be provided in the continuation grants.

Funds may be used to support personnel, their training, travel (see OBM Website <http://www.obm.ohio.gov/mppr/travel.asp>) and supplies directly related to planning, organizing, and conducting the Initiative/program activity described in

this announcement.

Where appropriate, retain all contracts on file. The contracts should not be sent to ODH. A completed “Confirmation of Contractual Agreement” (CCA) form must be submitted via the Internet for each contract. The submitted CCA must be approved by ODH before contractual expenditures are authorized.

Submission of the “Confirmation of Contractual Agreement” (CCA) via the Ohio Department of Health’s GMIS system indicates acceptance of ODH Grants Administration Policy and Procedure (GAPP). Clicking the “submit” button signifies your authorization of the submission as an agency official and constitutes your electronic acknowledgement and acceptance of GAPP rules and regulations.

Where appropriate, itemize all equipment (**minimum \$300.00 unit cost value**) to be purchased with grant funds in the Equipment Section

3. Section D: Answer each question on this form as accurately as possible. Completion of the form ensures your agency’s compliance with the administrative standards of ODH and federal grants.

4. Budget Summary: Enter information about the funding sources, budget categories and forecasted cash needs for the program. Distribution should reflect the best estimate of need by quarter. Failure to complete this section will cause delays in receipt of grant funds.

D. Budget Certification: The Budget Certification sets forth standards of financial conduct relevant to receipt of grant funds and is provided for informational purposes. The listing is not all-inclusive and any omission of other statutes does not mean such statutes are not assimilated under this certification. Complete the form by entering the State and Congressional Districts. By clicking the Acknowledge box when submitting an application, the subgrantee agency agrees by electronic acknowledgment to the financial standards of conduct as stated therein.

E. Program Narrative:

1. Executive Summary: Provide a brief synopsis of the purpose, methodology, and evaluation plan of this project. Identify, clearly and specifically, the priority population, services and programs to be offered and what agency/agencies will provide those services. Describe the public health problems that the project will address. Specify the total project budget and the portion requested from ODH through this grant. Describe the project goals and objectives that will be used to reach and serve the priority population. Describe how the project will be evaluated.

2. Description of Applicant Agency/Documentation of Eligibility/Personnel: Briefly discuss the applicant agency's eligibility to apply. Summarize the agency's

structure as it relates to this program and, as the lead agency, how it will manage the program. Note any personnel or equipment deficiencies that will need to be addressed in order to carry out this grant. Describe plans for hiring and training, as necessary. Delineate all personnel who will be directly involved in program activities. Include the relationship between program staff members, staff members of the applicant agency, and other partners and agencies that will be working on this program. Include position descriptions for these staff.

3. Problem/Need: Identify and describe the local health status concern that will be addressed by the program, do not restate national and state data. The specific health status concerns that the program intends to address may be stated in terms of health status (e.g. morbidity and/or mortality) or health system (e.g., accessibility, availability, affordability, appropriateness of health services) indicators. The indicators should be measurable in order to serve as baseline data upon which the evaluation will be based. Clearly identify the target population. Include a description of other agencies/organizations also addressing this problem/need.

4. Methodology: In a narrative, identify the program goals, objectives, strategies, and activities. Indicate how they will be evaluated to determine the level of success of the program. Complete Attachment #3 (SOS Amblyope Registry Program Plan) that identifies program objectives, strategies, activities, person(s) responsible, start and completion dates for each, and evaluation measures.

F. Attachment(s): Attachments are documents deemed necessary to the application that are not a part of the GMIS system. Attachments will be sent via GMIS as a file as part of an email utilizing the GMIS Send/Record Comments link. Attachments sent electronically must be transmitted by the application due date. Attachments that are non-Internet compatible must be postmarked or received on or before the application due date. An original and one (1) copy of non-Internet compatible attachments must be mailed to the ODH, Grants Administration Central Master Files address on or before (Due Date). All attachments must clearly identify the authorized program name and program number.

G. Electronic Funds Transfer (EFT) Form: Print in PDF format and mail to ODH, Grants Administration, Central Master Files address. The completed EFT form **must** be dated and signed, in blue ink, with original signatures. Submit the original and one (1) copy. **(Required only if new agency, thereafter only when banking information has changed.)**

H. Internal Revenue Service (IRS) W-9 Form: Print in PDF format and mail to ODH, Grants Administration, Central Master Files address. The completed IRS W-9 form **must** be dated and signed, in blue ink, with original signatures. Submit the original and one (1) copy. **(Required if new agency, thereafter only when tax or agency address information has changed.)**

I. Public Health Impact Statement Summary: Submit two (2) copies of a one-page

program summary regarding the impact to proposed grant activities on the Local Health Districts Improvement Standards.

J. Public Health Impact Response/Statement: Submit two (2) copies of the response/statement(s) of support from the local health district(s) to your agency's communication regarding the impact of the proposed grant activities on the Local Health Districts Improvement Standards. If a statement of support from the local health district is not available, indicate that and submit a copy of the program summary your agency forwarded to the local health district(s).

K. Liability Coverage: Liability coverage is required for all non-profit agencies. Non-profit organizations **must** submit documentation validating current liability coverage. Submit two (2) copies of the Certificate of Insurance Liability (**Non-Profit Organizations only**).

L. Non-Profit Organization Status: Non-profit organizations **must** submit documentation validating current status. Submit two (2) copies of the Internal Revenue Services (IRS) letter approving your 501(c)(3) exempt status (**Non-Profit Organizations only**).

M. Attachments as Required by Program: For SFY 2006 the applicant submit via GMIS:

- Save Our Sight Amblyope Registry Program Plan (Attachment #3)

III. APPENDICES

1. Amblyope Registry Program FY 2006 Application Review Form

Attachment #1
Instructions for Submitting Attachments via GMIS

1. Complete the Word document “FY06 RFP Attach” then save the document to your computer’s hard drive using “FY06 *Your Agency’s Name* RFP Attachment” as a file name.
2. After submission of your FY06 grant application through GMIS, go to the GMIS Welcome screen and click on “**Send-Record Comments.**”
3. An e-mail message box will come up. Scroll down to the **Add New Comments** section. Type in a message indicating that you are submitting your FY06 grant attachments.
4. Next to the Attachment box, click on the **Browse** button.
5. Find your saved attachment (FY06 *Your Agency’s Name* RFP Attachment) document on your hard drive.
6. Double click on the file name and the file name will appear in the Attachment box on the **Add New Comments** screen.
7. Click on **Add New Comments** screen at the bottom of the page.
8. You should get a message that says “Please wait Sending E-mail.” This may take a few minutes.
9. This should be followed by a message that says “Email sent successfully!”
10. If no other attachments are needed, click on **CLOSE**. If other attachments are needed, return to step #1 and begin the process again.

If you have any questions or problems, please contact Drema Phelps, Field Services Supervisor, at (614)644-6560, by e-mail dphelps@odh.ohio.gov .

Attachment #2
Submitting Program Reports via GMIS

1. Log on to your GMIS account.
2. You will see the Welcome screen.
3. Choose the **Program Report** option.
4. Select the appropriate **Available Report Period**.
5. Click the **Get Information** button.
6. The screen will display the **Subgrantee Program Report** form. This form will display three boxes with questions and text boxes. In the first text box, **I. Comparison of actual accomplishments to the objectives required by the Request for Proposals (RFP)** type a response which indicates that you are submitting the program report with this report (for example, "Program Quarterly Activity Reports for second quarter FY06 are being submitted on 4/12/06.") You do not need to enter a message in all of the text boxes, just the first one.
7. Scroll down to the bottom and click on **Save Changes**.
8. Then click on **Add Attachments**.
9. An e-mail message box will come up. Scroll down to the **Add New Comments** section. Type in a message indicating that you are submitting your program report.
10. Next to the Attachment box, click on the **Browse** button.
11. Find your saved program report document on your hard drive.
12. Double click on the file name and the file name will appear in the Attachment box on the Add New Comments screen.
13. Click the **Add Comments** button at the bottom of the page.
14. You should get a message that says "Please wait. Sending E-mail. This may take a few minutes."
15. This should be followed by message that says "E-mail Sent Successfully!"
16. If you wish to send another attachment (with optional forms), click on the box that says "Send Another E-mail" and attach your document to this e-mail.
17. If no other attachments are needed, click on **Close**.
18. You should then be back at the **Subgrantee Program Report** screen. Go to the bottom of this page and click on **Save Changes**. Then click on the **Submit Program Report** button. You should get a message box that says "The Program Report has been successfully Submitted!"
19. You are then finished.

If you have any questions or problems, please contact Drema Phelps, Field Services Supervisor, at (614)644-6560 or by e-mail at dphelps@odh.ohio.gov .

SAVE OUR SIGHT AMBLYOPE REGISTRY PROGRAM PLAN
October 1, 2005- June 30, 2006

Instructions for Completing the Program Plan

Objective: describe the conditions the applicant wants to achieve (see pages 2-3)

Activity: describe the actions that are necessary to create the conditions described in the Objective and how the activity is organized and carried out

Person(s) Responsible: list the most appropriate staff member, included in the grant proposal, for carrying out the Activity

Projected date of completion: enter the date the activities will be complete

Evaluation: describe a plan for demonstrating, in measurable terms, that the conditions in the Objective are actually achieved; and the effectiveness/appropriateness of each activity is actually achieved.

Objective: _____

| Strategy (listed on pages 2-3) | ACTIVITIES | PERSON (s) RESPONSIBLE | PROJECTED DATE OF COMPLETION | METHOD OF EVALUATION |
|--------------------------------|------------|---------------------------|---------------------------------|-------------------------|
| | | | | |

ATTACHMENT #3

Ohio Department of Health

Save Our Sight Amblyope Registry Program

FY 2006 Application Review Form

Applicant Agency: _____ Amount Requested: _____

County: _____ GMIS User # _____

This Application Review Form is to be used by external objective reviewers after applicant has satisfactorily passed the internal technical review (see RFP Eligibility requirements).

| <u>Criterion (Total Points = 100)</u> | <u>Score</u> | <u>Comments</u> |
|---|---------------------|------------------------|
| Program Narrative (70) | | |
| <u>Executive Summary (15)</u> <ul style="list-style-type: none"> • Provided a brief summary of the purpose, methodology, and evaluation plan for this project. • Clearly and specifically identified priority population. • Clearly and specifically identified the services and programs to be offered. • Clearly and specifically identified what agency/ agencies will be providing the services. • Described the public health problems that this project will address. • Specified the total project budget and the portion that was requested from ODH. | | |

| Criterion (Total Points = 100) | Score | Comments |
|--|--------------|-----------------|
| <p><u>Description of Applicant Agency/ Documentation of Eligibility/ Personnel</u> (15):</p> <ul style="list-style-type: none"> • Discussed eligibility to apply: <ol style="list-style-type: none"> a. Applicant is a 501 (c) organization. b. Applicant offers vision services to children in all Ohio counties. c. Applicant has demonstrated experience in the delivery of these services. d. Applicant has the capacity to accept an electronic funds transfer. • Summarized agency's structure as it relates to this program and how it will manage the program. • Noted any personnel or equipment deficiencies. • Delineated all personnel who will be directly involved in program activities. • Described relationship between staff members of the applicant agency and other partners and agencies that will be working with the program. • Provided position descriptions for staff members involved with the program. | | |
| <p><u>Problem/ Need</u> (10):</p> <ul style="list-style-type: none"> • Described local health status concerns that will be addressed by the program in terms of health status and health systems indicators. • Described other agencies/ organizations addressing the problem/ need. • Clearly identified target population. | | |
| <p><u>Methodology</u> (30):</p> <ul style="list-style-type: none"> • Have identified in narrative form the methodology for the program: <ol style="list-style-type: none"> a. Identified clear program objectives (See page 2, Objectives 1 and 2). b. Identified activities to accomplish stated objectives. | | |

| Criterion (Total Points = 100) | Score | Comments |
|---|--------------|-----------------|
| <ul style="list-style-type: none"> c. Clearly identified how the strategies and activities will be evaluated to determine whether or not the objectives are being met. d. The objectives can reasonably be accomplished within the budget period. • Attachment (Program Plan) is complete for all objectives, activities, persons responsible, timelines and evaluation. | | |
| Total Score for Program Narrative | /70 | |

| Criterion (Total Points = 100) | Score | Comments |
|---|--------------|-----------------|
| Budget Narrative (20) | | |
| <u>Cover page (10):</u> <ul style="list-style-type: none"> • Provided detailed narrative budget justification that described how categorical costs were derived. • Described the necessity, reasonableness, and allocation of the proposed costs. • Described specific functions of the personnel, consultants, and collaborators. • Explained equipment, travel, supplies (including any vision health and safety educational and/or media campaign materials) and training costs. | | |
| <u>Budget summary (10):</u> <ul style="list-style-type: none"> • Information entered about funding sources, budget categories, and forecasted cash needs for the program. • Distribution of funds reflected by quarter. | | |
| Total Score for Budget Narrative | /20 | |

| <u>Criterion (Total Points = 100)</u> | <u>Score</u> | <u>Comments</u> |
|---|---------------------|------------------------|
| Required documents (10) | | |
| <u>Documents included (10):</u> <ul style="list-style-type: none"> • Program plan/ methodology grid. • Signature page. • Electronic funds transfer (EFT) Form. • Internal Revenue Service (IRS) W-9 Form. • Public Health Impact Statement Summary. • Public Health Impact Response/ Statement. • Documentation of liability coverage. • Non-profit organization status included. | | |
| | | |
| Total Score for Required Documents | /10 | |
| | | |
| | | |
| Total Score for Proposal | /100 | |