

3701-8-01 Definitions.

As used in this chapter

- (A) "Affiliation" as it applies to an evidence-based home visiting model means meeting the program fidelity standards, reporting, and fiscal responsibilities as determined by an evidence-based home visiting model.
- (B) "Assessment" as it applies to early intervention means the ongoing procedures used by qualified personnel to identify the child's unique strengths and needs and the early intervention services appropriate to meet those needs.
 - (1) A "child assessment" means the procedures used to identify the unique strengths and needs of that infant or toddler and the identification of early intervention services appropriate to meet those needs.
 - (2) A "family-directed assessment" means the procedures used to identify the resources, priorities, and concerns of the family and the identification of the supports and early intervention services appropriate to enhance the family's capacity to meet the developmental needs of that infant or toddler.
- (C) "Assessment" as it applies to home visiting means the ongoing procedures used by qualified personnel to assess a child and family's resources, strengths, priorities, needs and concerns.
- (D) "Assistive technology device" means any item, piece of equipment, or product system, whether acquired commercially off the shelf, modified, or customized, that is used to increase, maintain or improve the functional capabilities of children with disabilities. This term does not include a medical device that is surgically implanted, including a cochlear implant, or the optimization (e.g., mapping), maintenance or the replacement of that device.
- (E) "Assistive technology service" means any service that directly assists an infant or toddler with a disability in the selection, acquisition, or use of an assistive technology device, including:
 - (1) The evaluation of the needs of a child with a disability, including a functional evaluation of the infant or toddler in the child's customary environment;
 - (2) Purchasing, leasing or otherwise providing for the acquisition of assistive technology devices by infants and toddlers with disabilities;
 - (3) Selecting, designing, fitting, customizing, adapting, applying, maintaining, repairing or replacing assistive technology devices;
 - (4) Coordinating and using other therapies, interventions, or services with assistive technology devices, such as those associated with existing education and rehabilitation plans and programs;
 - (5) Training or technical assistance for an infant or toddler with a disability, or, if appropriate, that child's family; and
 - (6) Training or technical assistance for professionals (including individuals providing

early intervention services) or other individuals who provide services to or are otherwise substantially involved in the major life functions of individuals with disabilities.

- (F) "Audiology services" include:
- (1) Identification of children with auditory impairments using at-risk criteria and appropriate audiologic screening techniques;
 - (2) Determination of the range, nature, and degree of hearing loss and communication functions, by use of audiological evaluation;
 - (3) Referral for medical or other services necessary for the habilitation or rehabilitation of an infant or toddler with a disability who has an auditory impairment;
 - (4) Provision of auditory training, aural rehabilitation, speech reading and listening devices, orientation and training, and other services;
 - (5) Provision of services for prevention of hearing loss; and
 - (6) Determination of the child's individual amplification, including selecting, fitting, and dispensing appropriate listening and vibrotactile devices, and evaluating the effectiveness of those devices.
- (G) "Centralized coordination contractor" means the entity which provides administration and oversight of help me grow public awareness, child find, central directory, referral, and contractor assignment activities for individuals referred to help me grow, as determined through a contract, grant or other agreement with the department of health.
- (H) "CAPTA" is the acronym for the federal Child Abuse Prevention and Treatment Act (CAPTA) (42 U.S.C.A. section 5106g), as amended by the CAPTA Reauthorization Act of 2010.
- (I) "Child find" means the strategies and activities which identify expectant mothers, first-time mothers, first-time fathers, low income and military families with infants and toddlers; infants and toddlers with developmental delays or disabilities, and infants or toddlers with diagnoses with a high probability of resulting in a developmental delay who may be eligible for help me grow.
- (J) "Clearly not feasible" means family circumstances which made it impossible to comply with Chapter 3701-8 of the Administrative Code.
- (K) "Comparable screening" means a screening tool which is not required in help me grow, but which is suitable for substitution for a required vision, hearing, or nutrition screening.
- (L) "Consent" means that written permission is provided once an individual has been fully informed of all information relevant to the activity for which permission is sought. Consent is further voluntarily given and may be revoked at any time.
- (M) "Convenient" means that reasonable attempts were made to schedule screenings, evaluations, assessments, meetings and visits in settings and times acceptable to the family .

- (N) "Department" means the Ohio department of health.
- (O) "Developmental delay" or "delay" means functioning at one and one half standard deviations below the mean or more in at least one of the following areas:
- (1) Adaptive development;
 - (2) Cognitive development;
 - (3) Communication development;
 - (4) Physical development; or
 - (5) Social or emotional development.
- (P) "Developmental evaluation" means the timely, comprehensive, and multi-disciplinary procedures used by qualified personnel to determine a child's eligibility for early intervention.
- (Q) "Director" means the director of health or his or her authorized designee.
- (R) "Early intervention" means the statewide program authorized by part C of the "Individuals with Disabilities Education Act."
- (S) "Early intervention service coordination contractor" means any entity that provides early intervention service coordination services, administration, or oversight of help me grow early intervention through a contract, grant, or other agreement with the department.
- (T) "Early intervention service provider" or "EIS provider" means an entity (whether public, private, or nonprofit) or an individual that provides early intervention services, whether or not the entity or individual receives federal funds under IDEA.
- (U) "Early intervention services" are developmental services that: are provided under public supervision, are selected in collaboration with the parents; are provided at no cost to families, except for a system of payments ; are designed to meet the developmental needs of an infant or toddler with a disability and the needs of the family to assist appropriately in the infant's or toddler's development, as identified by the IFSP team, in any one or more of the following areas, including physical, cognitive, communication, social or emotional, or adaptive development; meet the standards of the State in which the services are provided; are provided by qualified personnel; are provided in natural environments to the maximum extent appropriate; are provided in conformity with an IFSP adopted by the State; and include all of the following services:
- (1) Assistive technology devices and services;
 - (2) Audiology services;
 - (3) Family training, counseling and home visits;
 - (4) Health services;
 - (5) Medical services;
 - (6) Nursing services;

- (7) Nutrition services;
 - (8) Occupational therapy;
 - (9) Physical therapy;
 - (10) Psychological services;
 - (11) Service coordination services;
 - (12) Sign language and cued language services;
 - (13) Social work services;
 - (14) Special instruction;
 - (15) Speech-language pathology services;
 - (16) Transportation (and related costs);
 - (17) Vision services; and
 - (18) The above listed services do not comprise an exhaustive list of the type of services that may constitute early intervention services. Nothing in this paragraph prohibits the identification in the IFSP of another type of service as an early intervention service provided that it meets the criteria identified above.
- (V) "Early intervention payor of last resort provider" means an entity who is approved to provide early intervention services and is reimbursed for the services provided through a provider agreement with the Ohio department of health.
- (W) "Evidence-based home visiting model" means a service delivery model designated by the U.S. department of health and human services which meets at least one of the following criteria:
- (1) At least one high-quality or moderate-quality impact study of the model finds favorable, statistically significant impacts in two or more of the eight outcome domains; or
 - (2) At least two high-quality or moderate-quality impact studies of the model using non-overlapping analytic study samples find one or more favorable, statistically significant impacts in the same domain, as the home visiting evidence of effectiveness ("HomVEE") review describes at <http://homvee.acf.hhs.gov/>.
- (X) "Evidence-informed parenting curriculum" means an education tool used with parents to teach topics about parenting which has demonstrated research effects for the purpose and goals of its use.
- (Y) "Exceptional family circumstances" are unique situations specified by the family that prevent required program components from being completed within required time lines.
- (Z) "Expectant first-time mother" means a pregnant woman preparing to give birth to her first child or an individual who has given birth but not provided the day-to-day care for a child for longer than six consecutive calendar months. This does not include individuals preparing to adopt.

- (AA) "Families" means at least one expectant first-time mother or parent and at least one infant or toddler.
- (BB) "Family plan" as it applies to the home visiting program means a written plan that identifies the activities that will be provided or carried out in the delivery of services for eligible individuals.
- (CC) "Family training, counseling and home visits" means services provided, as appropriate, by social workers, psychologists, and other qualified personnel to assist the family of an infant or toddler with a disability in understanding the special needs of the child and enhancing the child's development.
- (DD) "Federal poverty level" or "FPL" is the level of household income by family size as reported in the federal register by the United States department of health and human services, published on or before April first of each calendar year.
- (EE) "First-time father" means a father who is raising his first biological or adopted child, or an individual who has not parented a child for longer than six calendar months and now has a child no more than six months old at time of referral.
- (FF) "First-time mother" means a mother who has given birth to or adopted her first child, or mother of an infant for no more than six calendar months after the live birth of the child.
- (GG) "Functional outcomes" are goals that are necessary and functional for the child's and family's life; reflect real-life contextualized settings; are jargon-free; are discipline-free; emphasize the positive; are based on the priorities of the family, and describe both the child's strengths and needs based on information from evaluation or assessment.
- (HH) "Global developmental screening" means the administration of appropriate instruments by qualified personnel for the purpose of identifying concerns across all developmental domains including adaptive, cognitive, communication, physical, and social or emotional which will result in a comprehensive developmental evaluation or referral to a qualified professional who will address identified concerns.
- (II) "Health services" mean services necessary to enable an otherwise eligible child to benefit from the other early intervention services under this part during the time that the child is eligible to receive early intervention services. The term includes:
- (1) Such services as clean intermittent catheterization, tracheotomy care, tube feeding, the changing of dressings or colostomy collection bags, and other health services; and
 - (2) Consultation by physicians with other service providers concerning the special health care needs of infants and toddlers with disabilities that will need to be addressed in the course of providing other early intervention services.
 - (3) The term does not include:
 - (a) Surgical in nature (such as cleft palate surgery, surgery for club foot, or the shunting of hydrocephalus);
 - (b) Purely medical in nature (such as hospitalization for management of congenital heart ailments, or the prescribing of medicine or drugs for any

purpose); or

- (c) Related to the implementation, optimization (e.g., mapping), maintenance, or replacement of a medical device that is surgically implanted, including a cochlear implant.
- (4) Nothing in this definition limits the right of an infant or toddler with a disability with a surgically implanted device (e.g., cochlear implant) to receive the early intervention services that are identified in the child's IFSP as being needed to meet the child's developmental outcomes.
- (JJ) "Help me grow" or "HMG" is the name of Ohio's statewide prenatal to age three home visiting and early intervention programs overseen and administered by the Ohio department of health.
- (KK) "Home visit" is a location or method for service delivery which may be in the child's or family's place of residence or a community location acceptable to the family.
- (LL) "Home visitor" is the individual who delivers services during home visits within the HMG home visiting program.
- (MM) "Home visiting contractor" means any entity which provides services within the help me grow home visiting program through a contract, grant or other agreement with the department.
- (NN) "Individualized family service plan" or "IFSP" means a written plan for providing early intervention services to an infant or toddler with a disability and the infant or toddler's family in the help me grow early intervention program.
- (OO) "IFSP team" is a multi-disciplinary group which must include the involvement of the parent and two or more individuals from separate disciplines or professions where one of these individuals must be the service coordinator.
- (PP) "Individuals with Disabilities Education Act" or "IDEA" is the federal law addressing the education of children with disabilities codified at 20 U.S.C. section 1400-1482 and federal regulations codified at 34 C.F.R. parts 300 and 303 as amended and in effect on July 1, 2012.
- (QQ) "Infant" means a child from birth through twelve months of age.
- (RR) "Infant or toddler with a disability" means an individual under three years of age who needs early intervention services because the individual is experiencing a developmental delay, as measured by appropriate diagnostic instruments and procedures in one or more of the following areas: adaptive development, cognitive development, communication development, physical development, social or emotional development; or has a diagnosed physical or mental condition that has a high probability of resulting in developmental delay.
- (SS) "Informed clinical opinion" means the professional knowledge and expert opinion used to reach decisions about a child's functional and behavioral strengths and needs during the evaluation and assessment processes.
- (TT) "Interim IFSP" means an IFSP which is completed and signed by the parent before an assessment has been conducted in order to start immediately-needed services for the child.

- (UU) "Local education agency" or "LEA" means a public board of education or other public authority within Ohio for either administrative control or direction of, public elementary schools or secondary schools in a city, county, township, school district, or other political subdivision of the state.
- (VV) "Medical services" means services provided by a licensed physician or physician's assistant for diagnostic or evaluation purposes to determine a child's developmental status and need for early intervention services.
- (WW) "Multi-disciplinary" means the involvement of two or more separate disciplines or professions, and with respect to evaluation of the child and assessments of the child and family, may include one individual who is qualified in more than one discipline or profession.
- (XX) "Native language" means when used with respect to an individual who is limited English proficient, the language normally used by an individual, or in the case of a child, the language normally used by the parents of the child. For evaluations and assessments, it is the language normally used by the child, if determined developmentally appropriate for the child by qualified personnel conducting the evaluation and assessment. When used with respect to an individual who is deaf or hard of hearing, blind or visually impaired, or for an individual with no written language, it means the mode of communication that is normally used by that individual, such as sign language, braille, or other communication.
- (YY) "Natural environments" means settings that are natural or typical for a same-aged infant or toddler without a disability.
- (ZZ) "Nursing services" means services provided by qualified personnel which include: the assessment of health status for the purpose of providing nursing care, including the identification of patterns of human response to actual or potential health problems; provision of nursing care to prevent health problems, restore or improve functioning, and promote optimal health and development; and the administration of medications, treatments, and regimens prescribed by a licensed physician.
- (AAA) "Nutrition services" means services provided by qualified personnel who conduct individual assessments in nutritional history and dietary intake, anthropometric, biochemical, and clinical variables, feeding skills and feeding problems, or food habits and preferences; develop and monitor appropriate plans to address the nutritional needs; and make referrals to appropriate community resources to carry out nutrition goals.
- (BBB) "Occupational therapy" means services provided by qualified personnel designed to address the functional needs of an infant or toddler with a disability related to adaptive development, adaptive behavior, and play, sensory, motor, and postural development. These services are designed to improve the child's functional ability to perform tasks in home, school, and community settings and include identification, assessment and intervention; adaption of the environment and selection, design, and fabrication of assistive and orthotic devices to facilitate development and promote the acquisition of functional skills; and prevention or minimization of the impact of initial or future impairment, delay in development, or loss of functional ability.
- (CCC) "Parent" means the adult caregiver responsible for a child's well-being, unless otherwise specified in this chapter.

- (DDD) "Parenting education" means sharing age-appropriate child development information, modeling appropriate activities and behaviors, and answering questions about child development with a parent of a child in the home visiting program.
- (EEE) "Personally identifiable information" means information which can identify an individual, including, but not limited to: child's name; name of the child's parent or other family member; address of the child or child's family; a personal identifier, such as the child's social security number or identification number; a list of personal characteristics that would make the child's identity easily traceable; or other information that would make the child's identity easily traceable.
- (FFF) "Physical therapy" means services provided by qualified personnel to address the promotion of sensorimotor function through enhancement of musculoskeletal status, neurobehavioral organization, perceptual and motor development, cardiopulmonary status, and effective environmental adaptation. These services include screening, evaluation, and assessment of children to identify movement dysfunction; obtaining, interpreting, and integrating information appropriate to program planning to prevent, alleviate, or compensate for movement dysfunction and related functional problems; and providing individual and group services or treatment to accomplish any of the above.
- (GGG) "Primary referral sources" means those individuals making referrals into help me grow and include hospital personnel, physicians, parents, child care programs, early learning programs, local education agencies and schools, public health facilities, other public health or social service agencies, other clinics and health care providers, public agencies and staff in the child welfare system, including child protective services and foster care, homeless family shelters, and domestic violence shelters and agencies.
- (HHH) "Prior written notice" means notification provided to the parent of an infant or toddler with a disability by an early intervention service provider in advance of an action and in writing which informs the parent of an action that is being proposed or refused, the reasons for taking the action, and the rights available to the parent.
- (III) "Procedural safeguards" means the procedures providers must adhere to in order to ensure program participants their rights.
- (JJJ) "Program referral" is the activity of making an individual known from a centralized coordination contractor to an early intervention service coordination contractor or a home visiting contractor for the start of program participation within help me grow.
- (KKK) "Psychological services" means services provided by qualified personnel for administering psychological and developmental tests and other assessment procedures; interpreting assessment results; obtaining, integrating, and interpreting information about child behavior and child and family conditions related to learning, mental health, and development; and planning and managing a program of psychological services, including psychological counseling for children and parents, family counseling, consultation on child development, parent training, and education programs.
- (LLL) "Qualified personnel" means personnel who have met Ohio's approved or recognized certification, licensing, registration, or credential that apply to the areas in which the individuals are conducting screenings, evaluations, assessments or services. The following are the types of qualified personnel who provide early

intervention services:

- (1) Audiologists;
- (2) Family therapists;
- (3) Nurses;
- (4) Occupational therapists;
- (5) Orientation and mobility specialists;
- (6) Pediatricians and other physicians for diagnostic and evaluation purposes;
- (7) Physical therapists;
- (8) Psychologists;
- (9) Registered dietitians;
- (10) Social workers;
- (11) Special educators, including teacher of children with hearing impairments (including deafness), teachers of children with visual impairments (including blindness), and early intervention/developmental specialists;
- (12) Speech and language pathologists;
- (13) Vision specialists, including ophthalmologists and optometrists; and
- (14) This is not an exhaustive list of the types of qualified personnel that may provide early intervention service. Nothing prohibits the identification in the IFS of another type of personnel that may provide early intervention services, provided such personnel meet the requirements as "qualified personnel" as set forth in this paragraph and provide services in accordance with Chapter 3701-8 of the Administrative Code and 34 CFR Part 303.

(MMM) "Record" includes a document, device or item, regardless of physical form or characteristic, including electronic format, created or received for an individual in help me grow, from system referral through program exit.

(NNN) "Screening" means the administration of appropriate instruments by qualified personnel for the purpose of identifying concerns in referred or current program participants which will result in a comprehensive developmental evaluation or referral to a qualified professional who can address the identified concerns.

(OOO) "Service coordination services" means the services provided by a service coordinator to assist and enable an infant or toddler with a disability and the child's family to receive the services and rights, including procedural safeguards, required under 34 C.F.R. Part 300. These services include the coordination of all services required across agency lines; serving as the single point of contact to carry out these services; assisting parents in gaining access to, and coordinating the provision of, early intervention services; and coordinating other services identified in the IFSP that are needed by, or are being provided to, the infant or toddler with a disability and that child's family.

- (PPP) "Service coordinator" is the individual who assists and enables an infant or toddler with a disability and the child's family to receive the services and rights, including procedural safeguards, required by help me grow early intervention.
- (QQQ) "Service provider" is any individual or entity providing services to a program participant in help me grow.
- (RRR) "Sign language and cued language services" means the services provided by qualified personnel which include teaching sign language, cued language, and auditory or oral language, providing oral transliteration services such as amplification, and providing sign and cued language interpretation.
- (SSS) "Social work services" means services provided by qualified personnel to evaluate a child's living conditions and patterns of parent-child interaction, prepare a social or emotional developmental assessment of the infant or toddler within the family context, provide individual and family-group counseling with parents and other family members, and appropriate skill-building activities with the infant or toddler and parents, work with problems in the living situation (home, community, and any center where early intervention services are provided) of an infant or toddler with a disability and the family of that child, and identify, mobilize, and coordinate community resources to enable the infant or toddler with a disability and the family to receive the maximum benefit from early intervention services.
- (TTT) "Special instruction services" means services provided by qualified personnel to design learning environments and activities that promote an infant or toddler's acquisition of skills in a variety of developmental areas, including cognitive processes and social interaction; curriculum planning, including the planned interaction of personnel, materials, and time and space, that leads to achieving the outcomes in the IFSP for the infant or toddler with a disability; providing families with information, skills, and support related to enhancing the skill development of the child; and working with the infant or toddler with a disability to enhance the child's development.
- (UUU) "Speech-language pathology services" means services provided by qualified personnel to identify children with communication or language disorders or delays in development of communication skills, including the diagnosis and appraisal of specific disorders and delays in those skills; refer for medical or other professional services necessary for habilitation or rehabilitation of children with communication or language disorders and delays in development of communication skills; and provide services for the habilitation, rehabilitation, or prevention of communication or language disorders and delays in development of communication skills.
- (VVV) "Statewide data system" means the early track data system administered and maintained by the department of health for HMG.
- (WWW) "Surrogate parent" means an individual who has been determined necessary and appropriate to represent the rights of an infant or toddler with a disability, who has been assigned by the department, and functions in place of a parent with respect to the child's participation in early intervention.
- (XXX) "System administrator" is the individual responsible for interaction with the department's electronic data system and oversight of data use.
- (YYY) "System referral" is the instance when a potentially eligible individual is made

known to a centralized coordination contractor, early intervention service coordination contractor, or a home visiting contractor with the following information: child's age; child's county of residence; parent's first and last name; and telephone number; e-mail address; or mailing address.

(ZZZ) "Toddler" means a child who is at least thirteen, but less than thirty-six months of age.

(AAAA) "Transition" means the change within or exit from HMG services including:

- (1) Transfer or discharge from a hospital or other facility;
- (2) Exit from HMG due to ineligibility at age three years; or
- (3) Exit from HMG due to other reasons.

(BBBB) "Transition planning conference" means the meeting held for a child in early intervention after the child is at least two years and three months of age and no more than three years of age for the purpose of discussing future placements, steps and procedures to prepare the child for changes in service delivery, including steps to help the child adjust to and function in a new setting after the child transitions out of early intervention.

(CCCC) "Transportation and related costs" means the cost of travel and other costs that are necessary to enable an infant or toddler with a disability and the child's family to receive early intervention services.

(DDDD) "Vision services" means services provided by qualified personnel to evaluate and assess visual functioning, including the diagnosis and appraisal of specific visual disorders, delays, and abilities that affect early childhood development; referral for medical or other professional services necessary for habilitation or rehabilitation of visual functioning disorders, or both; and provide communication skills training, orientation and mobility training for all environments, visual training, and additional training necessary to activate visual motor abilities.

(EEEE) "Ward of the state" means a child who is, as determined by the state of residence, a foster child, unless the foster parent meets the definition of a parent, as defined in rule 3701-8-07 of Administrative Code; or is in the custody of a the state child welfare agency or a local public child services agency.

(FFFF) "Written notification of IFSP meeting" means a letter or form sent by a child's service coordinator to the family, individuals participating in any assessments, and service providers which informs participants of the date, time and location of an upcoming annual IFSP meeting.

Effective: 01/15/2015

R.C. 119.032 review dates: 09/01/2017

CERTIFIED ELECTRONICALLY

Certification

07/25/2014

Date

Promulgated Under:	119.03
Statutory Authority:	3701.61
Rule Amplifies:	3701.61
Prior Effective Dates:	8/8/2005, 7/16/10, 9/3/12, 9/5/13